

# Annual Growth Plan - Issue Seventeen (Kick-out)

## ISA Transfer Authority

Return this form to Walker Crips Structured Investments, Finsbury Tower, 103-105 Bunhill Row, London EC1Y 8LZ.  
 The deadline for applications is 5pm on:

**Friday, 13th January 2012 for Stocks & Shares ISA transfers or 27th January 2012 for Cash ISA transfers.**

Please complete this form using **BLOCK CAPITALS** and **black ink**

If you are already a client of Walker Crips or have previously invested in a Walker Crips Structured Investments Plan please provide your account number:

### 1. Personal details

Title (Mr/Mrs/Miss/Other)	Surname
Full forenames	
Permanent residential address	
Postcode	
Date of birth <span style="margin-left: 20px;">D D</span> <span style="margin-left: 20px;">M M</span> <span style="margin-left: 20px;">Y Y Y Y</span>	Nationality
Country of permanent residence	Email address
Telephone	National Insurance Number

### 2. Investment details

I apply to transfer the following amount into the Annual Growth Plan - Issue Seventeen (Kick-out).

£

- Stocks & Shares ISA**  
 **Cash ISA**

### 3. Have you received financial advice?

Walker Crips Structured Investments recommend that all customers seek financial advice relating to the suitability of this product in relation to their circumstances and investment objectives. Please indicate if you received financial advice in relation to this application?

Yes. Firm name

Adviser name

No. I haven't received financial advice.

**If you HAVE received advice, please proceed to Section 4. If you HAVE NOT received financial advice, please complete the following section.**

#### Have you ever held any of the following?

- i. Any investment where the capital and investment returns are variable and are based on the performance of an underlying security or index, such as equities, commodities, indices and corporate bonds.  Yes  No
- ii. A structured investment product (a fixed term investment) such as the one you are applying for.  Yes  No

Please refer to page 10 of the brochure, titled 'Important points and suitability' for guidance on whether this investment is appropriate for you. If you do not answer these additional questions, we will not be able to determine whether this investment is appropriate for you.

#### Do you understand the following statements?

- i. The Plan may run for six years and you must be prepared to invest for the full Investment Term.  Yes  No
- ii. Should you need to cash the Plan in early, you may get back less than your original amount invested.  Yes  No
- iii. You are prepared to accept some risk to your capital in return for higher potential returns.  Yes  No
- iv. You have a minimum of £5,000 to invest.  Yes  No
- v. You may lose some or all of your money.  Yes  No

### 4. Existing ISA transfer request

I apply to transfer the following amount to the Annual Growth Plan - Issue Seventeen (Kick-out)

Please complete (a) or (b) as required.

(a) I wish to transfer my 2011/2012 tax year ISA

(b) I wish to transfer ISA(s) from previous tax years

Total transfer value

Title (Mr/Mrs/Miss/Other)
Surname
Full forenames
Permanent residential address
<b>Signature</b> (1 of 3)
Date

**The transfer to be in the form of cash.**  
**If you are transferring more than one ISA, this form can be copied or additional forms can be supplied.**

## 5. Declaration

### 1. I hereby certify that

I am 18 years of age or over. All subscriptions made, and to be made, belong to me.

I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of section 28 of The Income Tax (Earnings & Pensions) Act of 2003 (Crown Employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Walker Crips Stockbrokers Limited if I cease to be so resident and ordinarily reside or to perform such duties or to be married to, or in a civil partnership with, a person who performs such duties.

I hereby authorise Walker Crips Stockbrokers Ltd as ISA Manager, to hold my cash subscription, ISA Investments, interest, dividends and any other rights or proceeds in respect of these investments and any other cash.

The information above is true and correct to the best of my knowledge and belief, and

I agree to advise Walker Crips Stockbrokers Ltd without delay of any change in my circumstances affecting any of the information on this declaration and authority.

I have read and understood the Annual Growth Plan - Issue Seventeen (Kick-out) brochure, including the risks and Terms and Conditions and accept the Terms and Conditions and agree to the Terms and Conditions under which my investments will be managed.

I acknowledge that Walker Crips Stockbrokers Limited will provide a Annual Growth Plan - Issue Seventeen (Kick-out) statement twice each year.

### 2. I have subscribed to an ISA and I confirm that

I understand that this ISA is subject to the terms and conditions within the brochure and agree thereto.

I have not subscribed, and will not subscribe, more than the overall subscription limit in total to a Cash ISA and a Stocks and Shares ISA in the same year.

I authorise Walker Crips Stockbrokers Ltd as ISA/Plan Manager to make on my behalf any claims to relief from tax in respect of ISA Investments, and on my written request, to transfer or pay to me, as the case may be, ISA Investments, interest, dividends, rights or other proceeds in respect of such investments or any cash.

I authorise Walker Crips Stockbrokers Limited to supply me with a Annual Growth Plan - Issue Seventeen (Kick-out) statement twice each year.

#### Data protection

You authorise us to hold and process the information supplied on the application form as a data controller for the purposes of the Data Protection Act 1998. We may hold and process information for the administration of the service for which you are currently applying or may apply for in the future, for the operation of your investment and for marketing goods and services from any member of the Walker Crips Group. You authorise the transfer of your information to any member of the group for these purposes.

You authorise the disclosure of your information concerning your investment to an Independent Financial Adviser acting on your behalf.

You are entitled to request details of the information we hold upon payment of a fee and require us to correct any inaccuracies in that personal data.

Signature  
(2 of 3)

Date

## Financial adviser details and money laundering statement (THIS SECTION MUST BE COMPLETED IN FULL)

I confirm that I have completed the appropriate verification of identity checks and attach the **Identity Verification Certificate\*** Certified copies of the underlying documentary evidence **are enclosed** with this certificate.

I confirm that I have completed the appropriate verification of identity checks and attach the **Identity Verification Certificate\*** I have sighted the original documents and that any requiring a signature were presigned.

**Please tick the appropriate box**

\* Identity Verification Certificates can be downloaded from [www.wcgpplc.co.uk/wcsi](http://www.wcgpplc.co.uk/wcsi)

Company name	IFA signature		
IFA name			
Address or IFA company stamp  Postcode	Contact number		
	FSA number		
	Commission sacrifice	%	

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Plan Manager's name	
Plan Manager's address	
Postcode	
Telephone	Email address
Stocks and Shares ISA ref. number(s)	
Cash ISA ref. number(s) (to transfer to a stocks & shares account)	

I hereby authorise you to sell the assets and to send the proceeds in cash, together with any interest, dividends, rights and cash within the Plan to Walker Crips Stockbrokers Limited, an HMRC Approved Plan Manager, and I authorise you to provide Walker Crips Stockbrokers Limited with all such relevant information relating to my Plan(s) as may be required.

Signature (3 of 3)