

## Application form 2019/20 & 2020/21 tax years

### Investor section

Before completing this form, please read the relevant product literature including the Prospectus and terms and conditions. Investors should complete sections 1-8. **Please ensure that all boxes are completed.**

#### 1. Investor details

Title

First name(s)

Surname

Nationality

Date of birth  
(DD/MM/YY)

National  
insurance  
number

Permanent residential address

Postcode

Contact number

Email address

How would you like us to provide your statutory correspondence e.g. annual accounts?

By email                      By post                      Both

May we also contact you occasionally about new products and services?

Yes, by email                      Yes, by post                      No

Have you previously invested in a Downing product?                      Yes                      No

#### 2. Tax

Are you a tax resident in another country, or do you complete tax returns in a country, other than the UK?                      Yes                      No

If yes, please list which country(ies) below, together with any tax identification number(s) (TIN):

Country

TIN

Country

TIN

#### 3. Investment amount

Please indicate whether you would like to make a one-off investment, monthly contributions, or both.

##### One-off investment

(the minimum total investment is £5,000)

2019/20 tax year                      £

2020/21 tax year                      £

**Total**                      £

The number in the total box should equal the amount on your cheque/transfer.

##### AND/OR

##### Regular monthly investments

(the minimum monthly investment is £500)

Per month                      £

Please indicate how you will pay for your investment

**By bank transfer.** Please transfer your funds, or for monthly investments set up a standing order, to the following account:

Sort code: 16-01-09

Account number: 00624161

Account name: Downing ONE VCT plc

**Payment ref.:** "POSTCODESURNAME"

##### OR

**By cheque.** Please enclose a cheque from your personal account made payable to: "Downing ONE VCT plc"



## 8. Investor declaration

By signing below you confirm that:

- you have had an opportunity to review the Prospectus and read the terms and conditions of application therein;
- you will be the beneficial owner of the shares in Downing ONE VCT plc issued to you pursuant to the Offer;
- you agree to the adviser charge in section 6 (if applicable);
- you understand that HM Revenue & Customs may inspect this application form and that it is a serious offence to make a false declaration;
- the information provided on this form is, to the best of your knowledge and belief, accurate and complete; and
- you agree to notify Downing LLP immediately if the information provided on this form changes.

Investor signature

First name

Surname

(Please print)

Date (DD/MM/YY)

How easy did you find this form to complete?

Very easy

Average

Not very easy

## Submitting your application

Please complete the following checklist before submitting your application.

**I have completed all the required sections that apply to me.**

Investors should complete sections 1-8.

**I have signed the declaration in section 8.**

**I have arranged payment as per section 3.** Please note that the payment must come from an investor's personal bank or building society account. We cannot accept payments from third parties.

**My adviser/intermediary has completed sections 9-11 overleaf (if applicable).**

## What happens next?

Once you have completed the above checklist please send your completed application form to:

**Downing LLP  
St Magnus House  
3 Lower Thames Street  
London  
EC3R 6HD**

We will send you and your adviser (where applicable) an acknowledgement by email within two business days that we have received your application, and notification of the allotment of your shares in due course.

**Advisers and intermediaries please now complete sections 9-11 overleaf.**

## Adviser/intermediary section

Advisers and intermediaries should complete sections 9 and 10, and sign the adviser declaration in section 11.

### 9. About the adviser/intermediary

Company

Adviser name

Adviser email address

Address

Postcode

Contact number

Secondary email address (admin)

Firm FCA  
number

Individual FCA  
number

Are you part of a  
service or network  
provider?                      Yes              No

Service or provider name (if applicable)

If applicable, please enter your partner code below.

### Have you advised the investor?

Yes. The adviser fees stated in section 6 (if not nil) have been agreed with my client and comply with COBS 6.1A of the FCA Handbook.

**OR**

No. I have not advised the investor but I am permitted to receive the commission stated in section 6 in compliance with COBS 2.3A of the FCA Handbook (e.g. execution-only with enhanced services provided).

**Please provide a reason below.**

### 10. Payment of charges or commission

If an adviser charge or commission payment is due, please provide details of the bank account to which you would like the payment credited.

Account  
name

Sort code

Account number

Bank or building society name

### 11. Adviser/intermediary declaration

We confirm by signing below that the investor is a customer of our company and that the information provided on this form is, to the best of our knowledge and belief, accurate and complete. We also confirm we have verified the identity of the applicant in accordance with the Money Laundering Regulations 2007 and confirm that documentary evidence has been obtained and identity checks have been undertaken to confirm that the applicant's name and address as shown on this application form are correct. We agree to provide to Downing LLP, if requested upon reasonable notice, copies of such documentary evidence we hold for the applicant.

**Adviser/intermediary signature**

First name

Surname

(Please print)

Date (DD/MM/YY)