Aegon Authorisation Code

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This form is to be used for Self-directed Explicit Pricing clients only.

Please complete this application form using black ink in BLOCK CAPITALS and return to: Chelsea Financial Services, St James Hall, Moore Park Road, London SW6 2JS

1 Personal/Company Details (Pleas	e com	plete	this	sec	tion i	in f	full	l)			
Personal/Company Investor(s) primary I Existing Aegon Client Reference	olde	er. Ple	ease	see	Sec	tior	n 3	to a	adc	d add	Current permanent regidential address (if registering in the name of a company,
I have not received advice from a financial adviser		ation to	o this	inv	estm	nen	ıt.	!			please provide the company address here)
Mr/Mrs/Ms/Miss/Other											
Surname										Ī	Postcode
Full first name(s)										Ī	Fosicode
or company name											Time at this address yrs mths
											If at current address for less than two years, please supply previous address and time there
Telephone											uioro
Email											
Male Date of Birth DDDMMMMMMMMMMMMMMMMMMMMMMMMMMMMMMMMMM						Postcode					
D	D	М	М		Υ	Υ	/	Υ	Υ		Time at this address vrs mths
National Insurance Number		_/_			/_			_/		_	
For corporate investors please ensure you have correquirements in Section 9.	omple	ted all	the	sigr	natory	/					
Please read the following sentence and confirm by If you can't confirm and tick the box, please complete.		-									at I am solely UK resident for tax purposes and not a US citizen form that can be issued to you by Chelsea.
1A. THIRD PARTY DETAILS (PLEASE COMPLE	TE IF	RELE	VAN	T)							
Please complete this section if the person fundi	ing al	or pa	ırt of	thi	s inv	est	tm	ent i	is r	not th	ne applicant listed in Section 1.
Mr/Mrs/Ms/Miss/Other											Current permanent residential address
Surname											
Full first name(s)											
Date of Birth DDDMMMMYYYYY						Postcode					
	· 										
2 Designations (You can designate an ac	count	here	usin	g a	maxi	imı	um	of (eig	ht al	phanumeric characters)
If you wish to specify a unique designation for this a meaningful word. Only the named applicants of not completed we will not designate this account. designation is identical to that of the Cash Facility.	this in If you	vestm	ent w	/ill k	e rec	cog	gni	sed	as	bene	eficial owners. If this section is





3 Joint Holders																								
Please include the full name and address of	of each h	older.	All co	rresponde	ence wil	l be sent to	the	primary ho	lder.	Joint h	nolders	must	have	the s	ame	invest	men	t serv	ice as	the p	orimar	y hold	der.	
Second named holder							_	Mala	_	Fon	oolo [_	D	oto o	f Dirt	h		/		/				
Mr/Mrs/Ms/Miss/Other							Male		ren	nale			ale 0)I DIII	''	D	_/_	M N	/	Υ	Υ	Υ	Υ	
Surname								If at cu	rrent	addre	ss for le	ss tha	an two	year	s, ple	ease s	uppl	y prev	vious a	ıddre	ss an	d time	e ther	е
Full first name(s)																								
Current permanent residential address																								_
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	Postco	ode						Time at	this a	addre	SS								yrs				mt	hs
Time at this address				yrs		mth	ns										•							
Third named holder								_										/		/				
Mr/Mrs/Ms/Miss/Other								Male Female Date of Birth D D M M Y Y Y Y Y												Y				
Surname								If at current address for less than two years, please supply previous address and time there												e				
Full first name(s)																								
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Time at this address],,,,,				Time at	ti iio (addic	00								yıo					110
Time at this address				yrs		mth	IS																	
4 Funding your investment																								
I will be funding my investment by (tick a	all that ap	ply)																						
Cheque	£					Amour	nt				onthly /estmei										ne			
Cash Facility	£ . Amount					nt	L			70311101	поу	Direc	i Doi	JIL II IC	oti acti	0110	II bac	ν ρας	<i>j</i> C.)					
,						_																		
5 Nominated Bank Account																								
Complete this section if you have not prov																								
with your account. If you are paying by che months with this application. If you are an	existing o	custon	ner, or	nly comple	ete this	section if y	ou w	ould like to	cha	nge yo	our non	ninate	d ban											
account will not be applied to your regular	monthly	invest	ments	s. You can	only ha	ave one no	mina —	ated bank a Branch			, ,	en tim	e.			Popl	/Dui	ldina	Coolo	t	200110	t nun	obor	
Name of account holder								Dianch	30IL	_ Code		1_			1	Dalir	y bui		Socie	ly ac	Couri	Tiuii	IDEI	٦
Bank or Building Society name and add	dress											J												╛
								Building	Soc	iety R	oll Num	nber				T		Т	1	\top	T			\neg
	Postco	de																						╛
							_																	
6 Income																								
Complete this section if you have reques															ares	you h	old v	vithin	this p	rodu	ıct.			
Note: If you are taking regular withdrawa If you have selected the option to 'Retair	-			-	-	-			-						oion	inalu	dod (oboro	olooo	200 11	ou bo	أمد اما	11	
continue to pay income in accordance w					illed to	ine comm	15510	ni-iiee siia	ie cia	asses	you no	iiu, ai	ly COI	1111115	55IUI I-	·IIICIU	ueu :	SHale	Class	es y	ou nc	iiu wii	II	
Consolidated monthly income Income generated from your investm	nent fund	s will b	oe coi	nsolidated	into yo	ur cash fac	cility	and paid to	you	ır nom	inated I	oank a	accol	ınt on	n a m	onthly	/ bas	is.						
Cash Facility Income generated will be paid into	your cas	sh fac	ility to	be held	on platt	form for wi	thdr	awals, futu	re in	vestm	ent or (charg	es.											
Retain in fund																								

If you do not already hold income units/shares within this product and you do not tick one of these boxes we will select the 'Retain in fund' option by default. If you already hold income units/shares within this product and you do not tick one of these boxes we will apply your existing income option to all funds within this product.

Income generated from this investment will be retained in the fund.

Investment Selection

Minimum investment £10 per fund (lump sum) or £10 per month per fund (monthly savings). For further details about the available funds, please refer to the Key Investor Information Documents (KIID) and/or Fund Key Features Document.

Please ensure the funds are available through Aegon. You can only choose commission-free share class funds. If you do not indicate the share class, we'll select the commission-free share class fund, where available

Please note: if your intermediary has agreed exclusive share classes with a fund manager for your

selected funds, we'll always invest in that exclusive share class and not the share class you've selected below.

Type of
unit/share
(delete as

New monthly saving Lump sum appropriate)* (£10 minimum) (£10 minimum)*

VT Chelsea Managed Cautious Growth	ACC/INC	£	£
VT Chelsea Managed Balanced Growth	ACC	£	£
VT Chelsea Managed Aggressive Growth	ACC	£	£
VT Chelsea Managed Monthly Income (please complete section 6)	ACC/INC	£	£
	ACC/INC	£	£
	ACC/INC	£	£

TOTAL INVESTMENT AMOUNT £ £

*ACC/INC

Fund name

If you do not specify ACC or INC in this column, and have not completed Section 6, Aegon will invest into accumulation units/shares where available.

Cheque payment

Cheques must either be drawn on your own account, joint account or the account of the person detailed in Section 1A (third party details). The cheque must be made payable to Aegon. For a Building Society cheque or banker's draft your name must appear on the front of the cheque, or on the back of the cheque accompanied by the Building Society's or Bank's official stamp and signature. For other methods of funding your investment please see Section 4.

**New monthly saving
If there is an existing monthly saving in place, please indicate whether this application is in addition to or replaces the existing instruction.

Please ensure you attach a completed Investment by Direct Debit with this application (see back page).

Service Charge (To be completed by the intermediary)

Service Charge model name: STANDARD SEGMENT

Annual Service Charge*

0.40%

*This is an annual charge taken on a monthly basis.

Declaration and Authorisation

I have been made aware of the terms of these declarations and agree to them.

I confirm that I have had the opportunity to read the Aegon Platform terms & conditions carefully, along with the key features document, my personal illustration, the pre-sale disclosure on costs and charges, key investor information document(s) and the declarations in this application, before completing this application process. I confirm that I am habitually resident in the United Kingdom.

I accept that Aegon has not and will not assess my suitability for making a further payment to my Aegon ISA or my Aegon GIA, as applicable, or any investment decisions I make. This means that I will not benefit from the protection of the Financial Conduct Authority's rules on assessing suitability in relation to Aegon.

I declare that:

I apply to make a further payment to my Aegon ISA or my Aegon GIA as applicable. I am 18 years of age or over.

I agree to the Aegon ISA or Aegon GIA terms and conditions, as applicable.

The information supplied in this application, and any supplementary forms related to it, including transactional data, is correct and complete to the best of my knowledge and belief and appointed intermediary, who are aware that it is a serious offence to knowingly provide false or misleading information on the application.

I confirm that I have not received face to face advice from Chelsea in connection with this application and I have received and had the opportunity to read the key features document, illustration, key investor information document(s) and terms and conditions that are relevant to this application.

Where regulations allow, I nominate Chelsea to receive correspondence in relation to my investments on my behalf. This instruction will remain in force unless Chelsea has informed Aegon that they wish for this correspondence to be sent to me directly, or I no longer have an appointed intermediary to whom Aegon can send these

The further payment into my Aegon ISA or Aegon GIA, as applicable, will be placed in the cash facility. Thereafter, investments will be purchased in accordance with the investment instructions given by me or Chelsea.

I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties, which by virtue of Section 28 of Income Tax (Earnings and Pensions) Act 2003 (Crown employees serving overseas), am treated as being performed in the United Kingdom, or are married to, or in civil partnership with, a person who performs such duties. I will inform Aegon if I cease to be so resident or to perform such duties or be married to, or in civil partnership with, a person who performs such duties.

I declare that in respect of the Aegon GIA:

I have or will provide details through self-certification of all countries in which I am resident for tax purposes. If I do not provide these details, I am reportable to HM Revenue & Customs (HMRC) as undocumented.

Where required to under UK law, Aegon can share information about me and my Aegon GIA to HMRC, who will then share this information with tax authorities in the relevant countries and territories.

I authorise Aegon to:

Hold my cash, subscriptions, investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.

Make on my behalf any claims to relief from tax in respect of any of my ISA investments. Arrange any transfer of an existing ISA or GIA held with a different provider to my Aegon ISA, or Aegon GIA (as applicable), as and when I request that Aegon do so. Obtain details from my existing ISA Manager(s) or existing GIA provider(s) (as

applicable) and authorise the giving of any such details to Aegon. Accept investment and disinvestment instructions from Chelsea and to accept instructions from Chelsea with regard to all aspects of the running of the Aegon ISA or

Aegon GIA, as applicable Make any payments specified by me to Chelsea on my behalf from the Aegon ISA or Aegon GIA, as applicable. I agree that these payments reflect the terms of the agreement I have entered into with Chelsea. The contract note will confirm the actual amount of the payment to be deducted and paid to Chelsea. If I disagree with the payment then I must advise Chelsea of this

Primary holder signature X	Date
Capacity (if applicable)	
Second holder signature	Date
Capacity (if applicable)	
Third holder signature	Date
Capacity (if applicable)	

If you are completing this as a company you must include a copy of the Articles of Association.



Instruction to your Bank or Building Society to pay Direct Debits

Service User No.

Reference Number

1 6 9 7 7



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To the Manager	Bank or Building Society													
Address	Please pa subject to instruction	on to you y Aegon Co the safegu may reman electronic	ofunds Di Iards assi in with Ae	rect Dured begon I	ebits fro y the Din nvestme	m the a rect De nt Solu	accou bit Gu tions	ıarant	ee. I	unders	tand	that	this	
Name(s) of Account Holder(s)														
Bank/Building Society Account Number														
Branch Sort Code Banks and Bu accept Direct I some types of	ilding Societies may not Debit instructions from account.	Signature	Э					D	ate					

This Guarantee should be detached and retained by the payer.

The Direct Debit Guarantee



- This Guarantee is offered by all Banks and Building Societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit Aegon Cofunds will notify you five working days in advance of your account being debited or as otherwise agreed. If you request Aegon Cofunds to collect a payment, confirmation of the amount and date will be given to you at the time of the request
- If an error is made in the payment of your Direct Debit, by Aegon Cofunds or your Bank or Building Society, you are entitled to a full and immediate refund of the amount paid from your Bank or Building Society
 - If you receive a refund you are not entitled to, you must pay it back when Aegon Cofunds asks you to
- You can cancel a Direct Debit at any time by simply contacting your Bank or Building Society. Written confirmation may be required. Please also notify us.

Aegon is a brand name of both Scottish Equitable plc (No. SC144517) registered in Scotland, registered o ce: Edinburgh Park, Edinburgh EH12 9SE, and Cofunds Limited, Registered in England and Wales No.3965289, registered o ce: Level 43, The Leadenhall Building, 122 Leadenhall Street, London, EC3V 4AB. Both are Aegon companies. Scottish Equitable plc is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority. Cofunds Limited is authorised and regulated by the Financial Conduct Authority. Their Financial Services Register numbers are 165548 and 194734 respectively. © 2018 Aegon UK plc.

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