

Aegon Authorisation Code

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This form is to be used for Self-directed Explicit Pricing clients only.

Please complete this application form using black ink in BLOCK CAPITALS and return to: Chelsea Financial Services, St James Hall, Moore Park Road, London SW6 2JS

## 1 Personal/Company Details (Please complete this section in full)

**Personal/Company Investor(s) primary holder.** Please see Section 3 to add additional holders.

Existing Aegon Client Reference

I have not received advice from a financial adviser in relation to this investment.

Mr/Mrs/Ms/Miss/Other

Surname

Full first name(s)

or company name

Telephone

Email

Male  Female  Date of Birth   /   /

National Insurance Number    /    /    /

For corporate investors please ensure you have completed all the signatory requirements in Section 9.

Please read the following sentence and confirm by ticking the box if applicable. I confirm that I am solely UK resident for tax purposes and not a US citizen   
If you can't confirm and tick the box, please complete the Individual FATCA Self-Certification form that can be issued to you by Chelsea.

Current permanent residential address (if registering in the name of a company, please provide the company address here)

Postcode

Time at this address   yrs   mths

If at current address for less than two years, please supply previous address and time there

Postcode

Time at this address   yrs   mths

### 1A. THIRD PARTY DETAILS (PLEASE COMPLETE IF RELEVANT)

Please complete this section if the person funding all or part of this investment is not the applicant listed in Section 1.

Mr/Mrs/Ms/Miss/Other

Surname

Full first name(s)

Date of Birth   /   /

Current permanent residential address

Postcode

## 2 Designations (You can designate an account here using a maximum of eight alphanumeric characters)

If you wish to specify a unique designation for this account, please ensure that the designation reference does not make a meaningful word. Only the named applicants of this investment will be recognised as beneficial owners. If this section is not completed we will not designate this account. If you are funding this investment from a Cash Facility please ensure this designation is identical to that of the Cash Facility.



## 7 Investment Selection

Minimum investment £10 per fund (lump sum) or £10 per month per fund (monthly savings). For further details about the available funds, please refer to the Key Investor Information Documents (KIID) and/or Fund Key Features Document.

Please ensure the funds are available through Aegon. You can only choose commission-free share class funds. If you do not indicate the share class, we'll select the commission-free share class fund, where available.

Please note: if your intermediary has agreed exclusive share classes with a fund manager for your selected funds, we'll always invest in that exclusive share class and not the share class you've selected below.

Fund name	Type of unit/share (delete as appropriate)*	Lump sum (£10 minimum)	New monthly saving (£10 minimum)**
<b>VT Chelsea Managed Cautious Growth</b>	ACC/INC	£	£
<b>VT Chelsea Managed Balanced Growth</b>	ACC	£	£
<b>VT Chelsea Managed Aggressive Growth</b>	ACC	£	£
<b>VT Chelsea Managed Monthly Income</b> (please complete section 6)	ACC/INC	£	£
	ACC/INC	£	£
	ACC/INC	£	£
<b>TOTAL INVESTMENT AMOUNT</b>		<b>£</b>	<b>£</b>

### \*ACC/INC

If you do not specify ACC or INC in this column, and have not completed Section 6, Aegon will invest into accumulation units/shares where available.

### Cheque payment

Cheques must either be drawn on your own account, joint account or the account of the person detailed in

Section 1A (third party details). **The cheque must be made payable to Aegon.** For a Building Society cheque or banker's draft your name must appear on the front of the cheque, or on the back of the cheque accompanied by the Building Society's or Bank's official stamp and signature. For other methods of funding your investment please see Section 4.

### \*\*New monthly saving

If there is an existing monthly saving in place, please indicate whether this application is in addition to or replaces the existing instruction. Please ensure you attach a completed **Investment by Direct Debit** with this application (see back page).

## 8 Service Charge (To be completed by the intermediary)

Service Charge model name: STANDARD SEGMENT

Annual Service Charge\*: 0.40%

\*This is an annual charge taken on a monthly basis.

## 9 Declaration and Authorisation

**I have been made aware of the terms of these declarations and agree to them.**

### General Declaration

I confirm that I have had the opportunity to read the Aegon Platform terms & conditions carefully, along with the key features document, my personal illustration, the pre-sale disclosure on costs and charges, key investor information document(s) and the declarations in this application, before completing this application process.

I confirm that I am habitually resident in the United Kingdom.

I accept that Aegon has not and will not assess my suitability for making a further payment to my Aegon ISA or my Aegon GIA, as applicable, or any investment decisions I make. This means that I will not benefit from the protection of the Financial Conduct Authority's rules on assessing suitability in relation to Aegon.

### I declare that:

I apply to make a further payment to my Aegon ISA or my Aegon GIA as applicable.

I am 18 years of age or over.

I agree to the Aegon ISA or Aegon GIA terms and conditions, as applicable.

The information supplied in this application, and any supplementary forms related to it, including transactional data, is correct and complete to the best of my knowledge and belief and appointed intermediary, who are aware that it is a serious offence to knowingly provide false or misleading information on the application.

I confirm that I have not received face to face advice from Chelsea in connection with this application and I have received and had the opportunity to read the key features document, illustration, key investor information document(s) and terms and conditions that are relevant to this application.

Where regulations allow, I nominate Chelsea to receive correspondence in relation to my investments on my behalf. This instruction will remain in force unless Chelsea has informed Aegon that they wish for this correspondence to be sent to me directly, or I no longer have an appointed intermediary to whom Aegon can send these.

The further payment into my Aegon ISA or Aegon GIA, as applicable, will be placed in the cash facility. Thereafter, investments will be purchased in accordance with the investment instructions given by me or Chelsea.

I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties, which by virtue of Section 28 of Income Tax (Earnings and Pensions) Act 2003 (Crown employees serving overseas), am treated as being performed in the United Kingdom, or are married to, or in civil partnership with, a person who performs such duties. I will inform Aegon if I cease to be so resident or to perform such duties or be married to, or in civil partnership with, a person who performs such duties.

**I declare that in respect of the Aegon GIA:**

I have or will provide details through self-certification of all countries in which I am resident for tax purposes. If I do not provide these details, I am reportable to HM Revenue & Customs (HMRC) as undocumented.

Where required to under UK law, Aegon can share information about me and my Aegon GIA to HMRC, who will then share this information with tax authorities in the relevant countries and territories.

### I authorise Aegon to:

Hold my cash, subscriptions, investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.

Make on my behalf any claims to relief from tax in respect of any of my ISA investments.

Arrange any transfer of an existing ISA or GIA held with a different provider to my Aegon ISA, or Aegon GIA (as applicable), as and when I request that Aegon do so.

Obtain details from my existing ISA Manager(s) or existing GIA provider(s) (as applicable) and authorise the giving of any such details to Aegon.

Accept investment and disinvestment instructions from Chelsea and to accept instructions from Chelsea with regard to all aspects of the running of the Aegon ISA or Aegon GIA, as applicable.

Make any payments specified by me to Chelsea on my behalf from the Aegon ISA or Aegon GIA, as applicable. I agree that these payments reflect the terms of the agreement I have entered into with Chelsea. The contract note will confirm the actual amount of the payment to be deducted and paid to Chelsea. If I disagree with the payment then I must advise Chelsea of this.

Primary holder signature <b>X</b>	Date
Capacity (if applicable)	
Second holder signature	Date
Capacity (if applicable)	
Third holder signature	Date
Capacity (if applicable)	

**If you are completing this as a company you must include a copy of the Articles of Association.**

