GIA TO STOCKS & SHARES ISA APPLICATION FORM

CHELSEA Investment Intelligence™ supported by AEGON

The Stocks & Shares ISA allowance is £20,000 for the 2026/2027 tax year.

Please complete this application form using **black ink** and **BLOCK CAPITALS** and return to: **Chelsea Financial Services, St James Hall, Moore Park Road, London, SW6 2JS.**

1 PERSONAL DETAILS

Aegon account number (if applicable):

Title: ☐ Mr ☐ Mrs ☐ Ms ☐ Miss Other

Surname:

First name:

Date of birth: / /

Male ☐ Female ☐

National Insurance No:

Address:

Postcode:

Email address:

Telephone No:

1a THIRD PARTY OR JOINT HOLDERS WITHDRAWALS DETAILS (PLEASE COMPLETE IF APPLICABLE)

Please complete this section if the person funding all or part of this investment is not the ISA applicant in Section 1.

Aegon account number (if applicable):

Title: ☐ Mr ☐ Mrs ☐ Ms ☐ Miss Other

Surname:

First name:

Date of birth: / /

Address:

Postcode:

Email address:

Telephone No:

2 NOMINATED BANK ACCOUNT

To avoid any delays, please send a recent bank statement with the application form, to verify the details below. If you send an original we will arrange for this to be returned to you.

Name of account holder:

Account No: Sort code:

Building society roll No:

3 WITHDRAWAL DETAILS (FROM THE GENERAL INVESTMENT ACCOUNT)

Please indicate which fund(s) you wish to sell.

Fund name(s)	% to be sold or	Whole number of units or	Cash amount
Cash			

4 INVESTMENT SELECTION (FOR THE ISA)

Please ensure that you have read the Key Investor Information Documents and pre-sale illustration for the fund(s) that you choose to invest into. The minimum investment amount is £1 per fund.

☒ Please tick this box if you would like to invest proportionately into the ISA within the same funds as stated in section 3.

Please invest no more than £ in total

Fund name(s)	Acc/Inc*	% to be invested or	Cash amount
VT Chelsea Managed Cautious Growth	☐ Acc / Inc ☐	%	£
VT Chelsea Managed Balanced Growth	Acc	%	£
VT Chelsea Managed Aggressive Growth	Acc	%	£
VT Chelsea Managed Monthly Income	☐ Acc / Inc ☐	%	£
	☐ Acc / Inc ☐	%	£
	☐ Acc / Inc ☐	%	£
	☐ Acc / Inc ☐	%	£
	☐ Acc / Inc ☐	%	£
Cash		%	£
TOTAL AMOUNT		100%	£

*Acc/Inc - if you do not specify Acc or Inc, and do not complete section 5, will we will apply accumulation units, where available.

5 INCOME

Please complete this section, if you have selected income units in section 4. Please note that income will be set to reinvest, if this section is not completed. The option you choose will be applied to all income units/ shares you hold within this product.

☒ **Retain in fund (default)** - reinvest any income back into the fund

☒ **Consolidated monthly income** - pay any income to my bank account on a monthly basis - see section 2

☒ **Cash Facility** - pay any income into my ISA Cash Facility

6 WITHDRAWL AUTHORISATION

I authorise the sell down of the funds in section 3 and reinvestment into the ISA, as indicated in section 4.

Primary holder signature: Sign here ➤ Date: / /

Secondary holder signature: (if applicable) Sign here ➤ Date: / /

7 EXCESS BALANCES (IF APPLICABLE)

Transferring your GIA into an ISA may result in an excess balance. The value of your GIA holdings may change between the time you complete this form and the deal being placed. This is due to daily price movements.

Please note that we will automatically leave any excess balance in cash, outside of your ISA. If you wish for the excess balance to be reinvested, within the General Investment Account, please confirm which fund below:

Fund name

Please confirm whether the fund is Acc or Inc. If you do not and you have not completed section 5, we will apply accumulation units, where available.

8 SERVICE CHARGE (to be completed by itermediary)

Service Charge model name: STANDARD SEGMENT

Annual Service Charge*: 0.40%

9 DECLARATION

I/We authorise Aegon to make a withdrawal from my/our Aegon GIA as detailed in section 2 in accordance with the instructions given.

Where there is a single holder of the Aegon GIA, and the Aegon ISA investor is not the Aegon GIA holder, the Aegon GIA holder confirms that the money being withdrawn belongs to the Aegon ISA investor named in section 1.

Where there are joint holders of the Aegon GIA, all joint holders confirm that the money being withdrawn belongs to the Aegon ISA investor named in section 1a.

You and any joint holders should sign and date this form in the signaturebox below or by using any other electronic signature method we have agreed, in writing with your adviser, to accept. Your signature, typed name or agreed electronic signature method in the signature box will be your signature. When you sign the form, you are making the declarations and confirming that you wish to proceed with the instructions in this form.

I acknowledge that Aegon relies on the information contained in the following documents as they form the basis of the contract for opening an, or subscribing to an existing, Aegon ISA and where relevant, an Aegon GIA.

The application;

- These declarations and any other declarations made when applying for an, or subscribing to an existing, Aegon ISA and where relevant, an Aegon GIA.
- The contract note for the Aegon ISA, and where relevant, the first contract note for the Aegon GIA
- The Aegon Platform terms and conditions

I confirm that I have had the opportunity to read these documents carefully (other than the contract note(s) which will be given to me in accordance with the Aegon Platform terms and conditions), along with the key features document(s), my personal illustration, key investor information documents and the declarations in this application.

I confirm that I am habitually resident in the United Kingdom.

I accept that the information and documents I have been provided with should not be taken as advice or a recommendation from Aegon.

I accept that Aegon has not and will not assess my suitability for opening an, or subscribing to an existing, Aegon ISA or any investment decisions I make. Except where I have received advice from an adviser, this means I will not benefit from the protection of the Financial Conduct Authority's rules on assessing suitability. If I have any doubts about the suitability of the Aegon ISA, I should speak to an adviser where I have not already done so.

I declare that:

Where I do not have an Aegon ISA capable of accepting the subscription equivalent to the amount detailed in section 3:

- I apply to subscribe for a stocks and shares ISA for the tax year 2026/2027 and each successive year until further notice. I apply for an Aegon ISA, and where relevant an Aegon GIA, and services outlined in the application and agree to be bound by the Aegon Platform terms and conditions.
- Where I do have an Aegon ISA capable of accepting the subscription equivalent to the amount detailed in section 3, I apply to make this additional subscription to my existing Aegon ISA as detailed in 7.
- All subscriptions made, and to be made, to the Aegon ISA belong to me.
- I am 18 years of age or over.
- I confirm that I have not received any advice from Chelsea Financial Services and I understand that any investment or transaction I may choose to make is on an execution-only basis and that I am responsible for all investment decisions.
- Except where allowed by legislation, I have not subscribed/made payments to, and will not subscribe/make a payment more than the overall subscription limit in total to any combination of permitted ISA types in the same tax year.
- Except where allowed by legislation, I have not subscribed, and wil not subscribe, to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA.
- I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties, which by virtue of Section 28 of Income Tax (Earnings and Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in registered civil partnership with, a person who performs such duties. I will inform Aegon if I cease to be so resident or perform such duties or be married to, or in registered civil partnership with, a person who performs such duties.
- I agree to the Aegon ISA, and where relevant the Aegon GIA, terms and conditions.
- The information supplied in the application, and supplementary forms related to it, including transactional data, is true and complete to the best of my knowledge and belief. I am aware that it is a serious offence to knowingly provide false or misleading information on the application.
- Any payment into my Aegon ISA, or where relevant my Aegon GIA, including contributions and transfers, will be placed in the appropriate cash facility. Thereafter, investments will be purchased in accordance with the investment instructions given by me, or my adviser where I have appointed one in relation to my Aegon ISA, or where relevant my Aegon GIA.
- Where I have selected consolidated monthly income in section 5 and are currently taking regular withdrawals from my existing Aegon ISA, I instruct Aegon to cancel the regular withdrawals.
- Where I am applying for an Aegon GIA, through self- certification I have or will provide details of all countries in which I am resident for tax purposes. If I do not provide these details, I will be reportable to HM Revenue & Customs (HMRC) as undocumented.
- Where required to under UK law, Aegon can share information about me and my Aegon GIA to HMRC, who will then share that information with tax authorities in the relevant countries and territories.

I authorise Aegon to:

- Hold my cash, subscriptions, investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.
- Make on my behalf any claims to relief from tax in respect of any of my ISA investments.
- Accept investment and disinvestment instructions from my adviser where I have appointed one in relation to my Aegon ISA, or where relevant the Aegon GIA.
- Disclose details of my Aegon ISA, or where relevant my Aegon GIA, to my appointed adviser, and to accept instructions from my appointed adviser with regard to all aspects of the running of the Aegon ISA, and where relevant the Aegon GIA.
- Pay any fees or charge specified by me to Chelsea Financial Services on my behalf from my Aegon ISA, or where relevant my Aegon GIA. I agree that the amount of the fee or charge reflects the terms of the agreement I have entered into with Chelsea Financial Services. The contract note will confirm the actual amount of the fee or charge to be deducted and paid to my appointed adviser. If I disagree with the fee or charge then I must advise Chelsea Financial Services of this.

Data Protection

- I understand that Chelsea Financial Services will use the personal data I have provided in this application, including my name, age, contact details, bank account details, transactions and the invested amount in accordance with all applicable data protection laws and Chelsea's Privacy Policy as detailed at https://www.chelseafs.co.uk/notice/privacy/.
- I understand that my personal information will be used to provide products and services, manage my relationship with Chelsea and comply with any laws or regulations they are subject to (for example the laws that prevent financial crime or the regulatory requirements governing the products we offer) and for other purposes including improving Chelsea's services, exercising rights in relation to agreements and contracts and identifying products and services that may be of interest to me.

Signature: Sign here

Date: / /

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