STOCKS & SHARES ISA TRANSFER FORM

CHELSEA Investment Intelligence™ supported by AEGON

Should you transfer from a Lifetime ISA (LISA) to this Aegon Investment ISA (Stocks and Shares) your LISA status will be lost along with any benefits specific to the LISA.
Please complete this application form using black ink and **BLOCK CAPITALS** and return to: **Chelsea Financial Services, St James Hall, Moore Park Road, London, SW6 2JS.**

1 PERSONAL DETAILS

Aegon account number (if applicable):

Title: ☐ Mr ☐ Mrs ☐ Ms ☐ Miss Other

Surname:

First name:

Date of birth: / /

Male ☐ Female ☐

National Insurance No:

Address:

Postcode:

Email address:

Telephone No:

I can confirm that I am a solely UK resident for tax purposes and not a US citizen ☒

2 TRANSFER INVESTMENT CHOICES - TO BE COMPLETED IF TRANSFERRING AS CASH

Please ensure that you have read the Key Investor Documents terms and conditions and pre-sale illustration for the fund(s) that you choose to invest into.

Fund name(s)	Acc /Inc*	Transfer %
VT Chelsea Managed Cautious Growth	☐/☐	%
VT Chelsea Managed Balanced Growth	Acc	%
VT Chelsea Managed Aggressive Growth	Acc	%
VT Chelsea Managed Monthly Income	☐/☐	%
	☐/☐	%
	☐/☐	%
Cash		%
TOTAL AMOUNT		100%

*Acc/Inc - if you do not specify Acc or Inc, and do not complete section 3, will we will apply accumulation units, where available.

3 INCOME

Please complete this section, if you have selected income units in section 2. Please note that income will be set to reinvest, if this section is not completed. The option you choose will be applied to all income units/ shares you hold within this product.

- ☒ **Retain in fund (default)** - reinvest any income back into the fund
- ☒ **Consolidated monthly income** - pay any income to my bank account on a monthly basis - see section 4
- ☒ **Cash Facility** - pay any income into my ISA Cash Facility

4 NOMINATED BANK ACCOUNT

To avoid any delays, please send a recent bank statement with the application form, to verify the details below. If you send an original we will arrange for this to be returned to you.

Name of account holder:

Account No: Sort code: - -

Building society roll No:

5 SERVICE CHARGE (to be completed by intermediary)

Service Charge model name: STANDARD SEGMENT

Annual Service Charge*: 0.40%

*This is an annual charge, taken on a monthly basis.

6 DECLARATION

I acknowledge that Aegon relies on the information contained in the following documents as they form the basis of the contract for opening an, or subscribing to an existing, Aegon ISA.

- The application
- These declarations and any other declarations made when applying for an, or subscribing to an existing, Aegon ISA and, where relevant, an Aegon GIA
- The contract note for the Aegon ISA, and where relevant, the first contract note for the Aegon GIA
- The Aegon Platform terms and conditions.

I confirm that I have had the opportunity to read these documents carefully (other than the contract note(s) which will be given to me in accordance with the Aegon Platform terms and conditions), along with the key features document(s), my personal illustration, Key Investor Information Documents and the declarations in this application, before completing this application process.

I confirm that I have had the opportunity to read the Aegon UK Retail Order Execution Policy and I agree to its terms.

I confirm that I am habitually resident in the United Kingdom.

I accept that the information and documents I have been provided with should not be taken as advice or a recommendation from Aegon.
I accept that Aegon has not and will not assess my suitability for opening an, or subscribing to an existing, Aegon ISA or any investment decisions I make. Except where I have received advice from a financial adviser, this means I will not benefit from the protection of the Financial Conduct Authority's rules on assessing suitability. If I have any doubts about the suitability of the Aegon ISA, I should speak to a financial adviser where I have not already done so.

I declare that:
Where I do not have an Aegon ISA capable of accepting the transfer payment:

- I apply to subscribe for a stocks and shares ISA for the tax year 2026/2027 and each successive year until further notice.
- I apply for an Aegon ISA, and where relevant an Aegon GIA, and services outlined in the application and agree to be bound by the Aegon Platform terms and conditions.

Where I do have an Aegon ISA capable of accepting the transfer payment, I apply to make this transfer payment to my existing Aegon ISA as detailed in section 1.

I confirm that I have not received any advice from Chelsea Financial Services and I understand that any investment or transaction I may choose to make is on an execution-only basis and that I am responsible for all investment decisions.

All subscriptions made, and to be made, to the Aegon ISA belong to me. I am 18 years of age or over.

Except where allowed by legislation, I have not subscribed/made payments to, and will not subscribe/make a payment more than the overall subscription limit in total to any combination of permitted ISA types in the same tax year.

Except where allowed by legislation, I have not subscribed, and will not subscribe, to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA.

I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties, which by virtue of Section 28 of Income Tax (Earnings and Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a registered civil partnership with, a person who performs such duties. I will inform Aegon if I cease to be so resident or perform such duties or be married to, or in a registered civil partnership with, a person who performs such duties.

I agree to the Aegon ISA and, where relevant, the Aegon GIA, terms and conditions.

The information supplied in the application, and supplementary forms related to it, including transactional data, is true and complete to the best of my knowledge and belief. I am aware that it is a serious offence to knowingly provide false or misleading information on the application.

I confirm that, if I have not received advice from an appointed adviser in connection with this application, I have received and had the opportunity to read the key features document, illustration, Key Investor Information Document(s)(KIIDs) and the Aegon Platform terms and conditions that are relevant to this application.

Where regulations allow, I nominate Chelsea Financial to receive correspondence in relation to my investments. This instruction will remain in force unless Chelsea Financial has informed Aegon that they wish for this correspondence to be sent directly to me, or I no longer have an appointed adviser to whom Aegon can send these.

Any payment into my Aegon ISA or, where relevant, my Aegon GIA, including contributions and transfers, will be placed in the appropriate cash facility. Thereafter, investments will be purchased in accordance with the investment instructions given by me, or my adviser where I have appointed one in relation to my Aegon ISA or, where relevant, my Aegon GIA.

Where I have selected consolidated natural income in section 3 and am currently taking regular withdrawals from my existing Aegon ISA, I instruct Aegon to cancel the regular withdrawals.

Where I am applying for an Aegon GIA, through self-certification I have or will provide details of all countries in which I am resident for tax purposes. If I do not provide these details, I will be reportable to HM Revenue & Customs (HMRC) as undocumented.

Where required to under UK law, Aegon can share information about me and my Aegon GIA to HMRC, who will then share that information with tax authorities in the relevant countries and territories.

Where I have requested Aegon to pay regular withdrawals from my Aegon ISA, if the sum total of the amount in the product's cash facility and the amount realised by this instruction does not meet the amount of the regular withdrawal request, I am aware that Aegon will sell the largest value investment to cover any shortfall.

I authorise Aegon to:

- Hold my cash, subscriptions, investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.
- Make on my behalf any claims to relief from tax in respect of any of my ISA investments.
- Accept investment and disinvestment instructions from Chelsea Financial where I have appointed one in relation to my Aegon ISA or, where relevant, my Aegon GIA.
- Disclose details of my Aegon ISA or, where relevant, my Aegon GIA, to Chelsea Financial, and to accept instructions from Chelsea Financial with regard to all aspects of the running of the Aegon ISA and, where relevant, the Aegon GIA.
- Pay any fees or charge specified by me to Chelsea Financial on my behalf from my Aegon ISA (including any adviser or service charge set out in section 5) or, where relevant, my Aegon GIA. I agree that the amount of the fee or charge reflects the terms of the agreement I have entered into with Chelsea Financial. The contract note will confirm the actual amount of the fee or charge to be deducted and paid to my appointed adviser. If I disagree with the fee or charge then I must advise Chelsea Financial.

Data Protection
I understand that Chelsea Financial will use the personal data I have provided in this application, including my name, age, contact details, bank account details, transactions and the invested amount in accordance with all applicable data protection laws and Chelsea's Privacy Policy as detailed at https://www.chelseafs.co.uk/notice/privacy/.

I understand that my personal information will be used to provide products and services, manage my relationship with Chelsea and comply with any laws or regulations they are subject to (for example the laws that prevent financial crime or the regulatory requirements governing the products we offer) and for other purposes including improving Chelsea's services, exercising rights in relation to agreements and contracts and identifying products and services that may be of interest to me.

Nominee details: Cofunds Nominee Ltd, Level 26, The Leadenhall Building, 122 Leadenhall Street, London, EC3V 4AB

Your full name:

Signature: Sign here

Date:

STOCKS & SHARES ISA TRANSFER AUTHORITY FORM

TO BE COMPLETED ALONGSIDE THE STOCKS & SHARES ISA TRANSFER FORM

CHELSEA Investment Intelligence™ supported by AEGON

1 CURRENT PROVIDER

Name of current provider:

Current provider's address:

Current provider's postcode:

Your full name:

Your Aegon account number (if applicable):

Signature: Sign here

Date: / /

2 FUNDS THAT YOU WISH TO KEEP VIA RE-REGISTRATION

Please list all funds held with the investment/plan manager named on this form that you wish to retain when you transfer your investments. Please note that the funds you transfer in-specie will be moved into clean share funds, if applicable.

Fund name	Share class	Account or plan numbers	Acc/Inc?

3 INVESTMENTS THAT YOU WANT TO SELL

Please list all investments held with the investment/plan manager named on this form that you want to sell into cash and transfer, or tick the "Sell all of my investments" box below. You must also completed section 2 of the ISA transfer form.

Account reference with your existing investment/ plan manager or

☒ Sell of my investments

4 TAX YEAR SUBSCRIPTIONS TO BE TRANSFERRED

Which tax years' subscription do you want to transfer? ☒ Current ☒ Previous ☒ All

If you have ticked "current" or "all", please state your current year subscription to date £

Transferring previous tax-year ISA savings - You can transfer some or all of your existing ISA savings from previous tax years, without affecting your ISA allowance.

Transferring current tax-year ISA savings - Where you're transferring current year's subscription you must transfer 100% of those subscriptions for any type of ISA.

5 CASH ISA TRANSFER

Please ensure that you have completed section 2 of the ISA transfer form and section 4 of the ISA transfer authority.

All my cash ISA ☒ or this amount of my cash ISA £

Please tell us the notice period (if any), for you to transfer your cash ISA days

Branch sort code - - Account or plan number **(this must be completed)**

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